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X0306 4 2016-09-30 0 0001309082 LUCAS ENERGY, INC. LEI 0001561439 Schnur Anthony Charles 450 GEARS ROAD SUITE 860 HOUSTON TX 77067 1 1 0 0 CEO & President Common Stock 2016-09-30 4 A 0 1226 3.0 A 10659 D Common Stock 1680 I By IRA Effective November 1, 2012 (and amended and restated effective December 12, 2012), the Issuer entered into an employment agreement with Mr. Schnur. Pursuant to the agreement, \$20,000 of Mr. Schnur's annual salary is payable in shares of the Issuer's common stock, which are due quarterly. The above represents the \$5,000 quarterly payment of shares due on September 30, 2016 (less amounts withheld for the payment of taxes in connection with such compensation), which shares were issued pursuant to the Amended and Restated 2014 Stock Incentive Plan of the Issuer, which issuance was exempt from Section 16(b) pursuant to Rule 16b-3(d). /s/ Anthony C. Schnur 2016-10-04