

4 - 2012-08-31

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X0306 4 2012-08-29 0 0001309082 LUCAS ENERGY, INC. MKT:LEI 0001013720 GRUNEBAUM PETER 368 MCLAIN STREET BEDFORD
HILLS NY 10507 1 0 0 0 Common Stock 2012-08-29 4 X 0 14000 1 A 126229 D Warrants to Purchase Common
Stock 1 2012-08-29 4 S 0 15167 0.3 D 2009-08-31 2012-08-31 Common Stock 15167 14000 D Warrants to
Purchase Common Stock 1 2012-08-29 4 X 0 14000 0 D 2009-08-31 2012-08-31 Common Stock 14000 0 D /s/
Peter K. Grunebaum 2012-08-31